

BLAKELY SOKOLOFF TAYLOR & ZAFMAN LLP

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Facsimile Cover Sheet

Fax Transmission To*Examiner Huynh
Patent & Trademark Office
703-308-7722*Regarding*Response to Restriction Requirement
(24 pages total)*Fax Transmission From*Bill Alford
February 18, 2003**Please deliver directly to Room 4D19 - Art Unit 2813***FAX RECEIVED**

FEB 25 2003

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If you do not receive all the pages, or if there is any difficulty in receiving, please call (714) 557-3800 and ask for Susan McFarlane.

CONFIDENTIALITY NOTE

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FACSIMILE COVER SHEET

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Deliver to: Yennhu B. HuynhArt Group: 2813Company Name: USPTOFacsimile No.: 703-308-7382Date: September 12, 2002From: William E. Alford, Reg. No. 37,764Our Docket No.: 5802P018XNumber of pages 26 including this sheet.Application: 09/982,855Filing Date: 10/22/2001**Subject****Response to Restriction Requirement****Remarks**

On May 8, 2002, my assistant, Susan McFarlane, faxed a copy of our Response to Office Action to 703-308-7382. We received a confirmation copy indicating that all 24 pages of the response went through. A copy of this confirmation page is attached.

The Response to the Office Action includes a certificate of facsimile transmission signed and dated 05/08/2002.

Ms. McFarlane called the group receptionist on September 12, 2002 to inquire as to the status of this application. The receptionist confirmed that the number 703-308-7382 was a good fax number for this art unit and referred her to Examiner Huynh.

On September 13, 2002, Ms. McFarlane spoke with Examiner Huynh who checked and indicated that the Response to Office Action had not made it's way to the file. Please accept this duplicate copy of the Response to Office Action and have it entered into the file.

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Fax Transmission To*Examiner Huynh
Patent & Trademark Office
703-308-7382*Regarding*Response to Restriction Requirement
(24 pages total)*Fax Transmission From*Bill Alford
May 8, 2002**Group Art Unit 2813**Application No. 09/982,855
Attn Docket No. 005802.P018X***FAX RECEIVED**

FEB 25 2003

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Application No.: 09/082,855 Filing Date: 10/22/01 BSTZ Docket #: 005802.P018X Atty/Soc: WEA/em
Date Mailed: 05/04/2002 Docket Due Date(s): 05/23/2002 Client: VRAM Technologies
Title: Sidewalls As Semiconductor Etch Stop And Diffusion Barrier

Inventor(s) Meitzler

The following has been received in the U.S. Patent & Trademark Office on the date stamped hereon:

- | | |
|--|---|
| <input checked="" type="checkbox"/> Amendment: (<u>19</u> pgs) | <input type="checkbox"/> Information Disclosure Statement & PTO/SB/08 (<u> </u>) |
| <input type="checkbox"/> Appeal Brief & two copies (<u> </u> pgs) | <input type="checkbox"/> Issue Fee Transmittal (original & copy) |
| <input type="checkbox"/> Application: (<u> </u> pages w/ cover & abstract) | <input type="checkbox"/> Nonpublication Request Under 35 U.S.C. 122(b)(2)(E) |
| <input type="checkbox"/> Assignment & Cover Sheet (<u> </u> pgs) | <input type="checkbox"/> Notice of Appeal |
| <input checked="" type="checkbox"/> Certificate of Facsimile | <input type="checkbox"/> Petition for: <u> </u> |
| <input type="checkbox"/> Continued Prosecution Application (CPA) | <input type="checkbox"/> Request for Continued Examination (RCE) |
| <input type="checkbox"/> Declaration & POA (<u> </u> pgs) | <input type="checkbox"/> Reply Brief (<u> </u> pgs) |
| <input type="checkbox"/> Drawings: <u> </u> sheets, <u> </u> figures | <input type="checkbox"/> Request to Rescind Previous Nonpublication Request |
| <input type="checkbox"/> Express Mail No: <u> </u> | <input type="checkbox"/> Response to Notice of Missing Parts & Formalities L |
| <input type="checkbox"/> Extension of Time: <u> </u> | <input type="checkbox"/> Terminal Disclaimer |
| <input checked="" type="checkbox"/> Fee Transmittal (original & copy) | <input type="checkbox"/> Transmittal of Formal Drawings |
| <input type="checkbox"/> Other <u> </u> | <input type="checkbox"/> Transmittal of Publication Fee Due |
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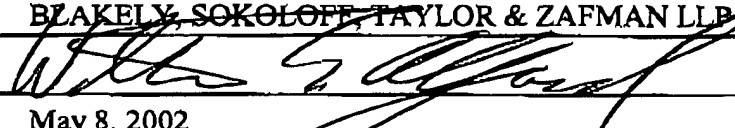
PTO/SB/21 (08-00)

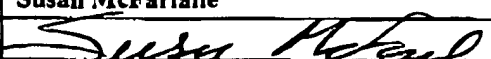
Approved for use through 10/31/2002. OMB 0651-0031
Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM (to be used for all correspondence after initial filing)		Application No.	09/982,855
		Filing Date	October 22, 2001
		First Named Inventor	Richard A. Metzler
		Group Art Unit	2813
		Examiner Name	Yennhu B. Huynh
Total Number of Pages in This Submission	22	Attorney Docket Number	5802P018X

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input checked="" type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s)	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input type="checkbox"/> Other Enclosure(s) (please identify below): <div style="border: 1px solid black; height: 80px; width: 100%;"></div>
Remarks		FAX RECEIVED FEB 25 2003 TECHNOLOGY CENTER 2800

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	William E. Alford, Reg. No. 37,764 BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP
Signature	
Date	May 8, 2002

CERTIFICATE OF MAILING/TRANSMISSION			
I hereby certify that this correspondence is being transmitted via facsimile under 37 CFR §1.8 on:			
			May 8, 2002
Typed or printed name	Susan McFarlane		
Signature		Date	May 8, 2002

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**FEE TRANSMITTAL
for FY 2002**

Patent fees are subject to annual revision.

☒ Applicant claims small entity status. See 37 CFR 1.27.**TOTAL AMOUNT OF PAYMENT** (\$) 0.00**Complete if Known**

Application Number	09/982,855
Filing Date	October 22, 2001
First Named Inventor	Richard A. Metzler
Examiner Name	Yennhu B. Huynh
Group/Art Unit	2813
Attorney Docket No.	5802P018X

METHOD OF PAYMENT (check one)
☐ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None
☒ Deposit Account

Deposit Account Number

02-2666

Deposit Account Name

Blakely, Sokoloff, Taylor & Zafman LLP

The Commissioner is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☐ Credit any overpayments☐ Charge any additional fee(s) during the pendency of the application☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
101	740	201	370	Utility filing fee	
106	330	206	165	Design filing fee	
107	510	207	255	Plant filing fee	
108	740	208	370	Reissue filing fee	
114	150	214	80	Provisional filing fee	

SUBTOTAL (1)

(\$)

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
67	72*	0	9.00
7	9*	0	42.00

Multiple Dependent

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
103	18	203	9	Claims in excess of 20	
102	84	202	42	Independent claims in excess of 3	
104	280	204	140	Multiple Dependent claim. If not paid	
109	84	209	42	**Reissue independent claims over original patent	
110	18	210	9	**Reissue claims in excess of 20 and over original patent	

SUBTOTAL (2)

(\$)

0.00

*or number previously paid, if greater. For Reissues, see below

FEE CALCULATION (continued)**3. ADDITIONAL FEES**

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for ex parte reexamination	
112	920	112	920	* Requesting publication of SIR prior to Examiner action	
113	1,840	113	1,840	* Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	400	216	200	Extension for reply within second month	
117	920	217	460	Extension for reply within third month	
118	1,440	218	720	Extension for reply within fourth month	
128	1,960	228	980	Extension for reply within fifth month	
119	320	219	160	Notice of Appeal	
120	320	220	160	Filing a brief in support of an appeal	
121	280	221	140	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,280	241	640	Petition to revive - unintentional	
142	1,280	242	640	Utility issue fee (or reissue)	
143	460	243	230	Design issue fee	
144	620	244	310	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Processing fee under 37 CFR 1.17(a)	
126	180	126	180	Submission of Information Disclosure Sheet	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	740	249	370	For each additional invention to be examined (37 CFR § 1.128(b))	
179	740	279	370	Request for Continued Examination (RCE)	
189	900	189	900	Request for expedited examination of a design application	

Other fee (specify)

* Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

(\$)

SUBMITTED BY

Name (Print/Type)

William E. Alford

Registration No.
(Attorney/Agent)

37,764

Telephone

(714) 557-3800

Signature

Date

05/08/02

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